INTAKE PACKET

Welcome to your EAP! WorkLife Hawaii, formerly Hawaii Employee Assistance Services, is Hawaii’s first EAP Program, and has been serving Hawaii’s businesses and employees for over 25 years.

This service has been paid for by your employer as a benefit to you. Although your employer is paying for your sessions here, we will not be informing your employer that you were here, unless for some reason you want us to and sign a release so we can do this. We provide your employer with a quarterly statistical summary of the number of employees that came in, their general reasons for coming here (like alcohol/drugs, workplace issues, marriage and family issues), and for larger companies, the divisions of the company that people work in, but nothing that should indicate to your employer that you were here.

Your counselor will be going over these forms with you and clarifying any questions you may have about our services, your rights, and any other questions or concerns you have.

We are pleased that you have decided to utilize your EAP benefits and look forward to helping you any way we can.

Mahalo!
**1. FACE SHEET**

**Please Print Legibly [Fill in the shaded areas at a minimum.]**

<table>
<thead>
<tr>
<th>CLIENT NAME</th>
<th>IF YOU ARE A CLIENT, DO NOT WRITE IN THIS BOX</th>
</tr>
</thead>
<tbody>
<tr>
<td>FIRST</td>
<td>MIDDLE</td>
</tr>
</tbody>
</table>

CASE NAME (if different than Client Name)

| FIRST | MIDDLE | LAST | |

ALSO KNOWN AS (Optional)

| | | |

HOME/RESIDENCE ADDRESS

| STREET/LOCATION | APT # | |
| CITY | STATE | ZIP CODE | |

MAILING ADDRESS (if different than above)

| STREET/LOCATION | APT # | |
| CITY | STATE | ZIP CODE | |

HOME PHONE

WORK PHONE

CELL PHONE

☐ Okay to leave a message ☐ Okay to leave a message ☐ Okay to leave a message

SCHOOL (for minor client)

| | Current Grade | |

EMPLOYER

| | Last Grade Completed Completed (Optional) |

MARITAL STATUS ☐ of Self ☐ of Parent/Caregiver (if client is a minor)

☐ S-Single ☐ M-Married Couple ☐ D-Divorced

☐ W-Widowed ☐ C-Unmarried Couple ☐ L-Separated

# OF PERSONS IN HOUSEHOLD

| | |

YEARLY GROSS FAMILY INCOME

REFERRAL SOURCE (Optional)

| Contact Person | Agency Name | Phone # |

MEDICAL INSURANCE? (Optional)

☐ Yes ☐ No

If Yes, Insurance Name

What Type? ☐ Medical ☐ Dental ☐ Vision

CLIENT EMERGENCY CONTACT

| Name | Relationship to Client | Primary Phone # | Secondary Phone # |

☐ High Risk Client

(As defined by program definition)

☐ |
## HOUSEHOLD MEMBERS

### 01 CLIENT

<table>
<thead>
<tr>
<th>NAME</th>
<th>SELF</th>
<th>DOB</th>
<th>SEX</th>
<th>PRIM Spoken Language</th>
</tr>
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<tr>
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### ADULT Receiving Services?

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>NAME</th>
<th>WORK PHN #</th>
<th>EMPLOYER</th>
<th>OTHER PHN #</th>
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### 02 ADULT

<table>
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<tr>
<th>NAME</th>
<th>WORK PHN #</th>
<th>EMPLOYER</th>
<th>OTHER PHN #</th>
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</table>

### 03 ADULT Receiving Services?

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
<th>NAME</th>
<th>WORK PHN #</th>
<th>EMPLOYER</th>
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### OTHER Receiving Services? Yes No

<table>
<thead>
<tr>
<th>Adult</th>
<th>Child</th>
<th>NAME</th>
<th>Employment Type</th>
<th>Disability</th>
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2. NOTICE OF PRIVACY PRACTICES
For the Use and Disclosure of Private Health Information

Effective Date: April 14, 2003
Anyone has the right to ask for a paper copy of this Notice at any time.

Understanding your health record

A record or a note is made each time you visit a Child and Family Service program and receive services. The services provided, interventions, service plan, and a plan for future services and care are recorded. This information is most often referred to as your “client record or case file,” and serves as a basis for planning services, care and treatment. It also serves as a means of communication among you and all other health care providers or workers who may contribute to your care and the services you are provided. Understanding what information is retained in your client record and how that information may be used will help you to ensure its accuracy, and enable you to relate to who, what, when, where, and why others may be allowed access to your client record. This effort is being made to assist you in making informed decisions about authorizing the disclosure of your health information to others.

Understanding your health information rights

Your client record is the physical property of the health service practitioner or worker or facility that compiled it, but the content is about you and therefore belongs to you. You have the right to request restrictions on certain uses and disclosures of your information, and to request amendments be made to your client file. Your rights include being able to review or obtain a paper copy of your client record, and be given an account of all disclosures. You may also request communications of your health information be made by alternative means or to alternative locations. For other than activity that has already occurred, you may revoke any further authorizations to use or disclose your health information.

Our responsibilities

Child and Family Service is required to maintain privacy of your health information and to provide you with notice of our legal commitment and privacy practices with respect to the information we collect and maintain about you. Child and Family Service is required to abide by the terms of this notice and to notify you if we are unable to grant your requested restrictions or reasonable desires to communicate your health information by alternative means or to alternative locations. Child and Family Service reserves the right to change its practices and effect new provisions that enhance the privacy standards of all client health information. In the event that changes are made, Child and Family Service will notify you through postings at program service sites and will post changes on our web site that provides information about our client services.

Your health information will be used for treatment, payment, and health care operations.

- **Treatment** – Information obtained by your health provider or worker with Child and Family Service will be recorded in your client records and used to determine the course of services that should work best for you. This consists of your provider or worker recording his/her own expectations and those of others involved in providing you care. Your health information may be shared with others involved in your care, such as other workers, specialists, psychologists, or physicians. An example of such use and disclosure of your information for treatment purposes would be an outreach worker may consult with the health specialist in the same program for recommendation for your service plan.

- **Payment** – Your health care information will be used in order to receive payment for services rendered by Child and Family Service. A request for payment may be sent to a third-party payer with accompanying documentation that identifies you, your care and services provided. An example of such use and disclosure of your information for payment purposes would be the submission of your name, date of birth, and service provided you by Child and Family Service.

- **Health Care Operations** – The staff of Child and Family Service will use your health information to assess the care you received and the outcome of your case compared to others like it. Your information may be reviewed for risk management or quality improvement purposes in our efforts to continually improve the quality and effectiveness of the care and services we provide. An example of such use and disclosure of your information for health care operations purposes would be a review of the care you have received and related record keeping to ensure that Child and Family Service is providing high quality care to its clients.

Understanding Child and Family Service Policy for Specific Disclosures

- **Business Associates** – Some or all of your health information may be subject to disclosure through contracts for services to assist Child and Family Service in providing health care. To protect your health information, we require these Business Associates to follow the same standards held by Child and Family Service through terms detailed in a written agreement.

- **Notification** – Your health records may be used, as appropriate, to notify or assist family members, personal representatives, or other persons responsible for your care of your whereabouts or to enhance your well-being.

- **Appointment Reminders** – Child and Family Service may contact you through the mail or telephone at an address or telephone number you provide to remind you of upcoming service related appointments.

- **Health Related Benefits and Services** – Child and Family Service may contact you for purposes of describing or recommending service alternatives, providing information about health-related benefits or services that may be of interest to you.

- **Communications with Family** – Using best judgment, a family member, or close personal friend, identified by you, may be given information relevant to your care.

- **Marketing** – Child and Family Service reserves the right to contact you with information about other health-related services that may be appropriate to you.

- **Fund Raising** – Child and Family Service reserves the right to contact you as part of general fund-raising efforts.

- **Research** – Our information will be disclosed to researchers upon Research Committee approval, and upon the assurance that established protocol to ensure the privacy of your health information has been obtained.

- **Food and Drug Administration (FDA)** – Child and Family Service is required by law to disclose health information to the FDA related to any adverse effects of food, supplements, products, and product defects for surveillance to enable product recalls, repairs, or replacements.

- **Worker’s Compensation** – Child and Family Service will release information to the extent authorized by law in matters of worker’s compensation.

- **Public Health** – Child and Family Service is required by law to disclose health information to public health and/or legal authorities charged with tracking reports of birth and morbidity. Child and Family Service is further required by law to report communicable disease, injury, or disability.
Correctional Facilities – Child and Family Service will release health information on incarcerated individuals to correctional agents or institutions for the necessary welfare of the individual or for the health and safety of other individuals. The rights outlined in the Notice of Privacy Practices will not be extended to incarcerated individuals.

Law Enforcement – (1) Your health information will be disclosed for law enforcement purposes as required under state law or in response to a valid subpoena. (2) Provisions of federal law permit the disclosure of your health information to appropriate health oversight agencies, public health authorities, or attorneys in the event that a staff member or business associate of Child and Family Service believes in good faith that there has been unlawful conduct or violations of professional or clinical standards that may endanger one or more clients, workers, or the general public.

Other than for reasons described in this notice, Child and Family Service agrees not to use or disclose your health information without your authorization.

To Receive Additional Information or Report a Problem
For further explanation of this notice you may contact the Director of Quality Assurance by phone at (808) 681-3500, by e-mail at privacyofficer@cfs-hawaii.org, or by mail at Child & Family Service, 91-1841 Fort Weaver Road, Ewa Beach, Hawaii, 96706.

If you believe your privacy rights have been violated, you have the right to file a complaint with our office or with the Secretary of Health and Human Services with no fear of retaliation by Child and Family Service.

NOTICE OF PRIVACY PRACTICES AVAILABILITY: The terms described in this notice will be posted where services occur. All individuals receiving care will be given a hard copy.

3. CLIENT GRIEVANCE INFORMATION -- FORMAL AND INFORMAL
Child and Family Service (CFS) staff do their best to help you. But, as in any organization, sometimes we are not completely successful. If you are unhappy about the service you have received or CFS protection of your protected health information (PHI) or CFS adherence to our policies and procedures related to your PHI, you are entitled to file a grievance.

There are two different types of grievances. An Informal Grievance is a verbal complaint that is resolved at the program level by discussing what you are unhappy about and reaching an agreement between yourself and CFS Staff. A Formal Grievance is a complaint that has been submitted in writing to the Director of Program Services.

- If your concern relates to the service or treatment you have received, tell your worker.
- If talking to your worker doesn’t help, ask our receptionist or your worker the name of their supervisor and explain the situation to them.
- If that still doesn’t give you satisfaction, ask the receptionist or your worker for the name of the Director of the Program responsible for the service you are receiving.
- Write a letter to the Director explaining the problem. If you feel uncomfortable about writing the letter, we will help you or you can get a friend to assist.
- The Child and Family Service (CFS) Privacy Officer is also available to ensure grievance procedures are followed correctly. If you have concerns and need to speak to someone, call 681-3500 and ask for the Privacy Officer.
- Within five working days of getting your letter, the Director of Program Services, or designee, will call or ask you to come in.
- Together you will discuss the problem and try to come up with a solution. If nothing can be worked out, the Director of Program Services will appoint two people on the staff of the organization who have not been involved with your case to carefully examine all aspects of the problem and to talk to everyone involved. These individuals are called arbitrators. The arbitrator will arrange an interview in order to hear both sides of the situation.
- At that time you will have the right to look at any of the records, and to talk to anyone who you think might help you explain your grievance.
- Within five working days of your meeting the Director of Program Services, you will get a call from the arbitrators to set up an interview date at some mutually acceptable time.
- You will have the opportunity to fully explain your concerns to the arbitrators in your own words at the interview.
- Within 24 hours of the interview the arbitrators will submit a written report to the Director of Program Services which summarizes the grievance and their recommendations.
- Within five (5) working days the Director of Program Services will have examined all of the records and given thought to the arbitrator’s recommendation.
- The Director of Program Services will ask you to meet in person. He/she will talk to you about your grievance and give you his/her decision regarding it.
- If you are not satisfied with the decision that is made, you have the right to appeal this decision.
- In order to appeal the decision, put the reason you are appealing the decision in writing and address it to the CEO. If you need assistance with this process, you can contact your worker or your Clients Rights Advisor, the Privacy Officer.
- The CEO will then review your appeal and if it is accepted, a supplemental investigation will be conducted by two additional arbitrators and you may be interviewed again.
- The results of this investigation will then be given to the CEO within 1 week.
- The CEO with the assistance of other Senior Management Staff will then make a final decision regarding the appeal and you will be informed of it as soon as possible.

If your concern relates to how CFS is protecting your health information or how CFS is adhering to its privacy practices, or related policies and procedures, contact the CFS Privacy Officer and provide your specific grievance in writing. You may also contact the Secretary of the U.S. Department of Health and Human Services without making your grievance known to CFS.

- The CFS Privacy Officer will review your specific grievance and conduct an investigation.
- Within 30 days you will be provided with the results of the investigation and any corrective action taken, if it is found necessary to do so.
- If you are not satisfied with the response of the CFS Privacy Officer, two individuals will be appointed as arbitrators by the CFS President and CEO.
- The arbitrators will contact you to set up a hearing within 10 days from the date of the decision by the CFS Privacy Officer.
- A hearing at an agreeable date, time and place will be scheduled to occur within 30 days from the date that you were contacted by the arbitrators.
- The results of the arbitration meeting will be provided to you within five (5) working days of the meeting.
- If you are not satisfied with the results of the arbitration you may contact the U.S. Secretary of Health and Human Services.
4. CLIENT RIGHTS AND RESPONSIBILITIES DOCUMENT

CLIENT RIGHTS:

- The right to a humane service and treatment environment that affords reasonable protection from harm and appropriate privacy.
- The right to treatment and services under conditions that are free from unlawful discrimination and support your personal liberty. Such liberty will be restricted only as necessary to comply with treatment needs, law, and judicial orders.
- The right to be provided with a reasonable explanation of all aspects of your services and treatment.
- The right to confidentiality of records except when staff are mandated by law to report suspected dependent adult abuse, child abuse and/or neglect; when handling any legal proceedings or when conditions of emergency occur and/or there are threats of homicide or suicide.
- The right to written notice of privacy practices, including the right to request that we communicate with you about your protected health information (PHI) at an alternative location in accordance with CFS policy and procedures.
- The right to obtain an accounting of disclosures made and restrict certain disclosures of your protected health information, at your request and in accordance with CFS policy and procedures.
- The right to an individualized, written service and/or treatment plan developed promptly. You have the right to participate in any service and/or treatment planning including revisions. Your service and/or treatment will be according to this plan. There will be periodic review and reassessment of this plan, and appropriate revisions will be made.
- The right to have access to your record upon request and in accordance with CFS policy and procedures.
- The right to submit written request to correct, amend or supplement any portions of your record that you feel are not accurate, relevant, or complete, according to CFS policy and procedures.
- The right to a location for delivery of service that is least restrictive and the most convenient among available alternatives.
- The right to select a provider agency and to receive a CFS referral to another provider agency.
- The right to file complaint/grievance with respect to infringement of these rights, including the right to have such grievances considered in a fair, timely, and impartial manner.
- The right to be informed promptly, in appropriate language so that you can understand your rights described in this section.
- The right to exercise your rights described in this section without any negative effect on your services and/or treatment.
- The right to access a qualified advisor in order to understand and exercise your rights.
- The right to refuse service and to be informed of the possible consequences of such refusal.
- The right to refuse participation in research without informed, voluntary and written consent.

CLIENT RESPONSIBILITIES:

- The responsibility to comply with program rules and behavioral expectations in order to gain the most from treatment/services and avoid the need to discharge or terminate services.
- The responsibility to provide appropriate and timely notice when canceling an appointment, unless cancellation is due to an emergency.
- The responsibility to comply with program hours of availability.
- The responsibility for open communication with your assigned worker to promote your active participation in the planning and development of your services.
- The responsibility to actively participate in the services that are offered/provided.
- The responsibility to treat Child and Family Service staff in a respectful manner.

WORKLIFE HAWAII CLIENT RIGHTS AND RESPONSIBILITIES:

- Services are available Monday-Friday 8:00am – 5:00 pm and Saturday 10:00am – 2:00pm, plus some evening hours can be arranged. After business hours contact number is 1-800-944-3571.
- I agree to call my therapist at least 24 hours prior to a scheduled appointment in order to cancel or change the appointment.
- I understand that if I do not show up for an appointment or do not cancel with at least 24 hours notice, that the session will be counted as one of my allowed sessions.
- I agree to meet with my assigned therapist as agreed.
- I agree to make my best effort to work toward my goals.
- I understand that failure to comply with WORKLIFE HAWAII program rules and responsibilities may result in termination of services.

Your Rights Advisor is Director of Quality Assurance at Child & Family Service and can be reached at (808) 681-3500.

5. CONSENT TO SERVICE / TREATMENT / EVALUATION

Presenting Request need or concern: EAP Counseling
Purpose(s) of proposed services/treatment or recommended procedures: Provide assistance related to the employee’s concern(s)
Specific services/treatment proposed: Assessment, brief solution-oriented counseling and referral if needed

The Child & Family Service employee has referred to and reviewed with me the Child and Family Service “Notice of Privacy Practices” prior to signing this consent and has explained to me:

- the proposed services;
- that information about me may be shared with other CFS employees providing me with treatment or services, for payment of services, and for organizational purposes, such as quality assurance;
- benefits and risk of service/treatment and non service/treatment;
- the right to obtain a second opinion;
- the right to seek services elsewhere;
- that the anticipated results of services are not guaranteed;
- the right to refuse services;
- that my consent may be overridden when the staff is mandated by law to report suspected dependent adult abuse, child abuse and/or neglect; when staff are required to respond to a subpoena; when conditions of emergency occur and or when there is a threat of homicide or suicide;
- that this consent can be revoked at anytime by notification to program staff; and
- that this consent will expire when services are terminated or when I withdraw from services.
6. INTAKE CHECKLIST AND SIGNATURE FORM

I have been an active participant in the process of review and completion of these documents:
1) Face Sheet
2) Notice of Privacy Practices
3) Client Grievance Information
4) Client Rights and Responsibilities
5) Consent for Service/Treatment/Evaluation

I consent to these services, having reviewed all of these forms prior to signing, and understand that services will be designed with my input and will reflect my individual needs and circumstances.

All documents have been presented to me in a language that I understand. My rights and responsibilities have been explained to me, and I am aware of and understand my rights and responsibilities. I acknowledge receiving a copy of these documents.

Client name: ___________________________________________ (Please print) ____________________________ Date of Birth

Client signature: _______________________________________ ________________________________ Date

Witnessed by: __________________________________________ (CFS program staff’s name) ____________________________ (CFS program staff’s title)

Staff Signature: _______________________________________ ________________________________ Date

Acknowledgement by Parent, legal guardian of Minor (Required for clients under the age of 13).

Client name: ___________________________________________ (Please print)

Parent/Legal Guardian name: ___________________________________________ (Please print)

Signature: _______________________________________________ (Parent/Legal Guardian) ____________________________ Date

Witnessed by: ___________________________________________ (CFS program staff’s name) ____________________________ (CFS program staff’s title)

Client (Parent/Legal Guardian) Comments:
_____________________________________________________________________________
_____________________________________________________________________________
7. CONFIDENTIAL INITIAL SURVEY: YOUR SITUATION AND YOUR WORK

Some of your work experiences in the past month may have been impacted by the situation that brought you here. These experiences may be affected by many environmental, as well as personal factors, and may change from time to time. For each of the following statements, please circle one of the following responses to show your agreement or disagreement with this statement in describing your work experiences in the past month.

Please circle the number that indicates your Agreement or Disagreement with the following six sentences:

<table>
<thead>
<tr>
<th>Statement</th>
<th>Strongly Agree</th>
<th>Somewhat Agree</th>
<th>Uncertain</th>
<th>Somewhat Disagree</th>
<th>Strongly Disagree</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Because of (my situation) the stresses of my job were much harder to handle.</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td>2. Despite having (my situation), I was able to finish hard tasks in my work.</td>
<td>5</td>
<td>4</td>
<td>3</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>3. (My situation) distracted from taking pleasure in my work.</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td>4. I felt hopeless about finishing certain work tasks, due to (my situation).</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
</tr>
<tr>
<td>5. At work, I was able to focus on achieving my goals, despite (my situation).</td>
<td>5</td>
<td>4</td>
<td>3</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>6. Despite having (my situation), I felt energetic enough to complete all my work.</td>
<td>5</td>
<td>4</td>
<td>3</td>
<td>2</td>
<td>1</td>
</tr>
</tbody>
</table>

Add the circled numbers together for the total score: [ ]

A total of 6 indicates your situation impacted your work to a great degree; A total of 30 indicates your situation did not impact your work at all.

8. AUTHORIZATION FOR FOLLOW-UP SATISFACTION SURVEYS

In an ongoing process of improving services, we do occasional follow-up satisfaction surveys with our EAP clients, usually around a month after they have last been seen. Please let us know whether you would like to be contacted, and if so, how you would prefer this contact to take place.

☐ Yes, please contact me by phone. My phone number is: ____________________________
☐ You may leave a message at this number. ☐ Please do not leave a message at this number.

☐ Yes, please contact me by email. My email address is: ____________________________
I understand that emails are not secure and that my confidentiality cannot be assured through email, but I prefer this means of follow-up.

☐ Yes, please contact me by mail. My mailing address is: ____________________________

☐ No, please do not contact me.

My name: ____________________________ Date: ____________________________

Signature: ____________________________